# Human-in-the-Loop Large-Scale Predictive Maintenance of Workstations



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### Workstation maintenance



## Proposed solution: Human-in-the-loop predictive maintenance (PdM)



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# PdM is coupled with ML challenges

#### Why is this difficult?

- ▶ Thousands of workstations and dozens of customers
- ▶ Highly varying load of experts
- ▶ Help, not center workflow
- ▶ The service reliability

#### What is missing?

- ▶ Include both predictive algorithms and experts
- ▶ Field-tested PdM algorithms

#### Elements of the proposed solution

- ▶ Bayesian optimization for parameter search
- Predictive modeling via ensembles
- ▶ Decision rule elicitation
- ▶ Deployed infrastructure for running the algorithm

## Data preparation

- Information and effect period: T<sub>inf</sub> and T<sub>eff</sub>,
- average features using aggregators over T<sub>inf</sub>,
- predict that the number of alerts will go over a threshold in T<sub>eff</sub>
- formalize failure: number of alerts is larger than a threshold



Figure:  $T_{inf}$  and  $T_{eff}$ 

To extract features, we use aggregation operations from  $\mathcal{A}$ :

$$\widetilde{x}_{i} = \underset{agg \in \mathcal{A}}{\oplus} \underset{agg \in \mathcal{A}}{\operatorname{agg}} \left( x_{i}^{t-T_{\inf}}, \ldots, x_{i}^{t} \right). \quad (1)$$

## Algorithm overview

- i Optimize data preparation hyperparameters (for some cases can be set from prior knowledge)
- ii Build a model to predict the probability of a problem
- iii Elicit expert feedback as decision rules"
- iv Use ML model and elicited decision rules to predict future problems

### Bayesian Optimization of $T_{inf}$ and $T_{eff}$

(2)

We employ BO to find best performance over  $T_{inf}$ and  $T_{eff}$ . We define a Gaussian process (GP)

prior over functions:

 $\mathrm{f} \sim \mathcal{GP}(\mu(\mathrm{x}),\mathrm{K}(\mathrm{x},\mathrm{x})).$ 

In our work, we used the RBF kernel:

$$K(x, x') = \exp(-\gamma ||x - x'||^2),$$
 (3)

where  $\gamma$  is a length scale hyperparameter. We use GP as a surrogate for the model's predictive performance.

Acquisition function:

$$a_{\text{UCB}} = m(\mathbf{x}) + \kappa \sigma(\mathbf{x}), \quad (4)$$



Figure: Bayesian optimization of the information and effect periods.

## Human-in-the-loop

#### Classical ML

- i Humans use predictions, and label the data
- ii Model uses labeled data for training and makes predictions

#### Our human-in-the-loop implementation

- i Experts provide labels and explain their decision-making process
- ii Model makes predictions and gives intepretable additional information

We aim to build the model so that experts can affect predictions of the model, and the model's decisions are explainable.

### Decision rules elicitation

- ▶ Data-driven machine learning model M,
- ▶ Experts can explain their decision-making process via heuristics,
- ▶ the heuristics can be represented as predicates,

Model based on decision rules feedback:

$$C_{fb}(x) = \zeta(\sum_{i=1}^{F} f_i(x) sim(X_{test}^i, x) \theta_i).$$
(5)  
Similarity

The whole model:

$$C(\mathbf{x}) = \alpha \underbrace{M(\mathbf{x}, \boldsymbol{\theta}_{M})}_{ML \text{ model parameterized by } \boldsymbol{\theta}_{Mexander Nikitin and Samuel Kas}}^{\text{Feedback model}}$$
(6)

## Deployment scheme

- Services: data collector, training server, inference server, and web interface
- domain experts use email notifications and web interface
- the collected data are stored in an S3 compatible storage
- Used tools: Kubernetes, Airflow, Jenkins, and Ceph



## Interface for exploration



The most problematic workstations can be explored via t-SNE embeddings

### Experiments

#### 1. Synthetic data



#### 3. Field-testing



#### 2. Real historical data

Algorithm	Inf. period	Eff. period	$f_1$ -score	precision	recall
Logistic regression	31.9h	119.9h	$0.51\pm0.02$	$0.88\pm0.02$	$0.35\pm0.01$
ExtraTrees classifier	31.9h	119.9h	$0.76\pm0.01$	$0.72\pm0.02$	$0.82 \pm 0.01$
Gradient boosting	31.9h	119.9h	$0.77 \pm 0.01$	$0.87\pm0.02$	$0.69\pm0.02$
DRE $(3)$	31.9h	119.9h	$0.77\pm0.01$	$0.89 \pm 0.02$	$0.67\pm0.02$
DRE $(5)$	31.9h	119.9h	$0.78\pm0.01$	$0.87\pm0.02$	$0.71 \pm 0.02$
DRE $(15)$	31.9h	119.9h	$0.80\pm0.01$	$0.87\pm0.02$	$0.74 \pm 0.02$
DRE $(20)$	31.9h	119.9h	$0.81\pm0.01$	$0.84\pm0.02$	$0.77\pm0.02$

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## Summary

- ▶ Human-in-the-loop practical implementation
- ▶ The core is decision rule elicitation
- ▶ Synthetic, collected, and field experiments
- **Future:** HITL in other PdM domains or other applications (e.g., healthcare)
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