

Human-in-the-Loop Large-Scale Predictive Maintenance of Workstations



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TL;DR

- We implement a human-in-the-loop (HITL) predictive maintenance (PdM) system for workstations
- Predictive maintenance: Predict problems beforehand
- Human-in-the-loop: Maintenance engineers give their decision rules, which comes naturally to them
- We show effectiveness on synthetic data, real historical data, and in field-test

Introduction

Workstation PdM: Fix issues in a large number of workstations without user interruptions.

Why is this difficult?

- Thousands of workstations and dozens of customers
- Highly varying load of experts over time
- Assist, not center workflow
- The service needs to be reliable

What is missing?

- Include both predictive algorithms and expert knowledge
- Field-tested PdM algorithms

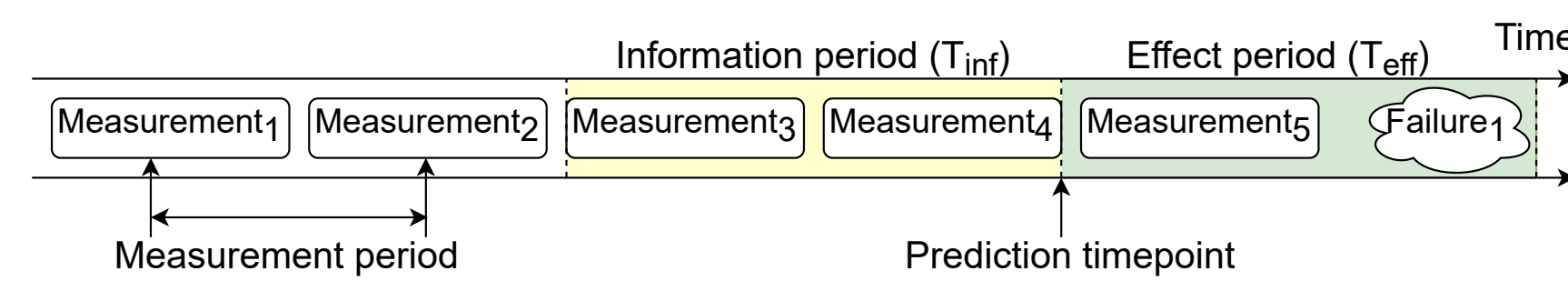
Solution. We implement a machine learning system that predicts future problems in sets of workstations (computers, laptops, and servers). **Domain experts are included in the loop not only as providers of correct labels, as in traditional active learning but as a source of explicit decision rule feedback.**

Methods

Algorithm overview

- Beforehand, on historical data:
 - Optimize data preparation hyperparameters
 - Learn a model to predict the probability of a problem
- Iteratively, during operation:
 - Elicit expert feedback as decision rules
 - Use ML model and elicited decision rules to predict future problems

Data Preparation



- average features using aggregators over T_{inf}
- formalize failure: number of alerts is larger than a threshold
- predict the probability of failure in T_{eff}

To extract features, we use aggregation operations from \mathcal{A} :

$$\tilde{\mathbf{x}}_i = \bigoplus_{agg \in \mathcal{A}} \text{agg}(\mathbf{x}_i^{t-T_{inf}}, \dots, \mathbf{x}_i^t). \quad (1)$$

Bayesian Optimization (BO) of Hyperparameters

We employ BO to **optimize data preparation hyperparameters** (incl., T_{inf} and T_{eff}). We use GP as a

surrogate for the model's predictive performance. A Gaussian process (GP) is a prior over functions:

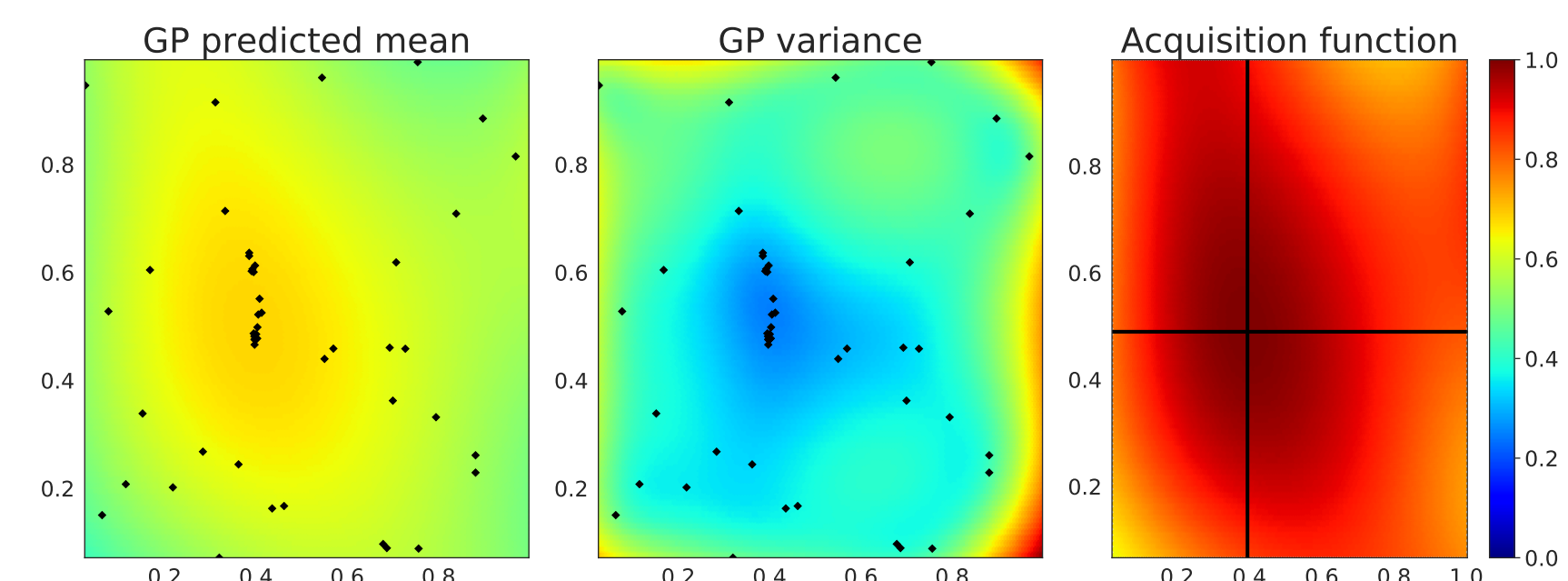
$$f \sim \mathcal{GP}(\mu(\mathbf{x}), K(\mathbf{x}, \mathbf{x})). \quad (2)$$

We used the RBF kernel:

$$K(\mathbf{x}, \mathbf{x}') = \exp(-\gamma \|\mathbf{x} - \mathbf{x}'\|^2), \quad (3)$$

where γ is a length scale hyperparameter. Acquisition function:

$$a_{UCB} = m(\mathbf{x}) + \kappa\sigma(\mathbf{x}), \quad (4)$$



Human-in-the-loop approach

- Experts can use their knowledge to improve predictions, and
- the model's decisions are explainable.

Decision rule elicitation

- Data-driven machine learning model M ,
- Experts can explain their decision-making process via heuristics,
- the heuristics can be represented as simple decision rules,

Model based on decision rule feedback:

$$C_{fb}(\mathbf{x}) = \zeta \left(\sum_{i=1}^F f_i(\mathbf{x}) \text{sim}(\mathbf{X}_{test}^i, \mathbf{x}) \theta_i \right). \quad (5)$$

Elicited decision rules Weight
Similarity

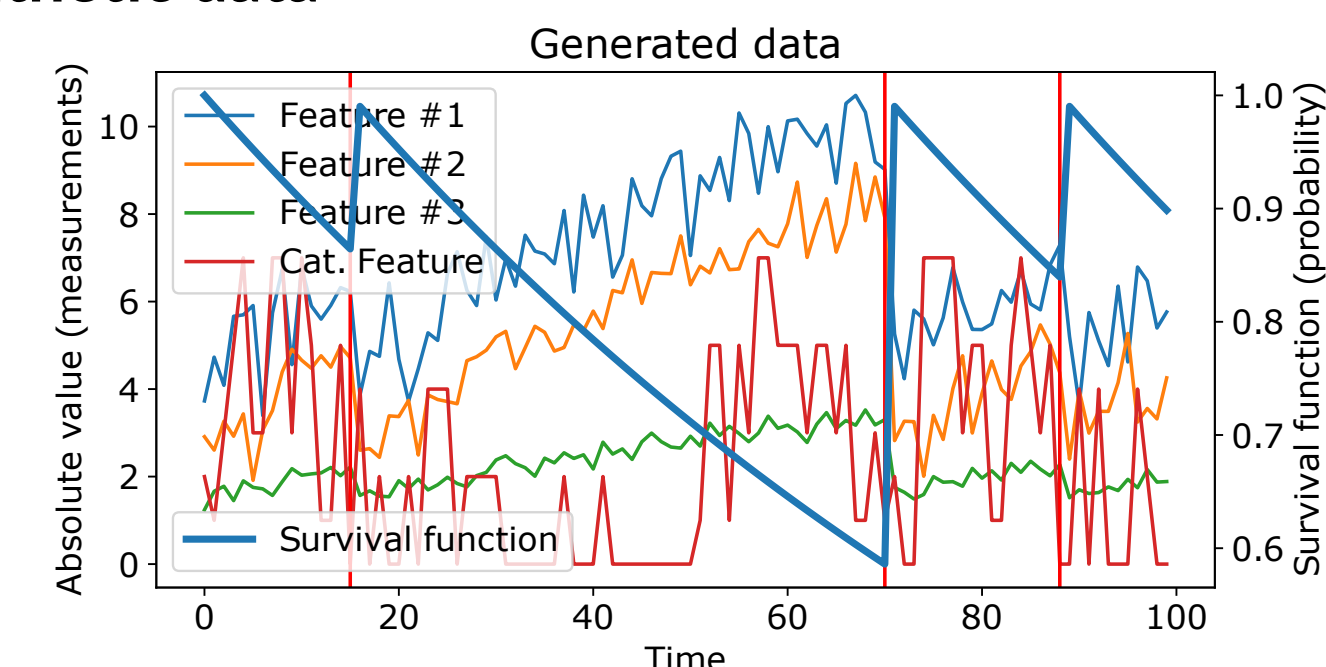
The whole model:

$$C(\mathbf{x}) = \alpha M(\mathbf{x}, \theta_M) + (1 - \alpha) C_{fb}(\mathbf{x}, \theta_f), \quad (6)$$

Feedback model
ML model parameterized by θ_M

Experiments

1. Synthetic data

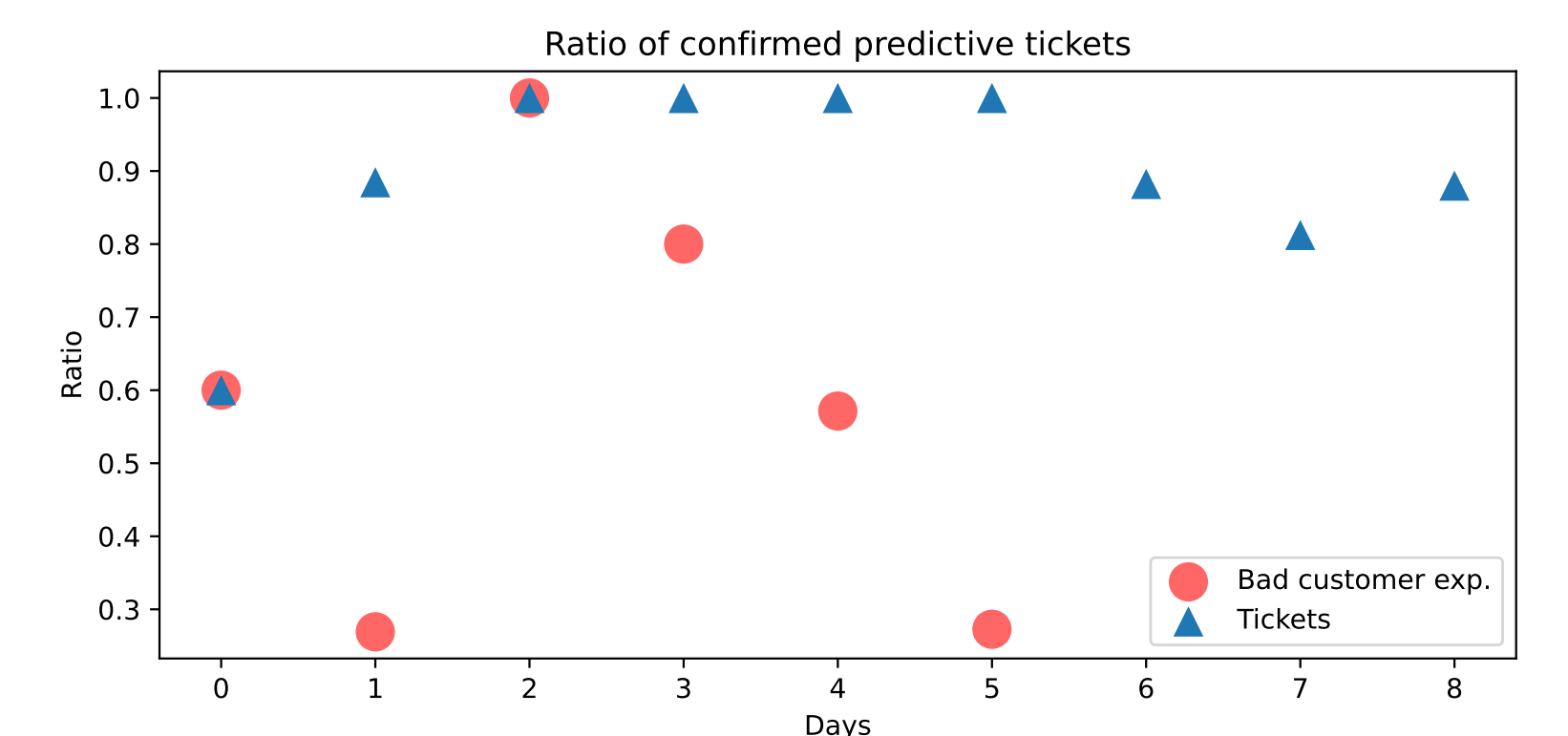


2. Real historical data

Algorithm	f ₁ -score	precision	recall
Logistic regression	0.51 ± 0.02	0.88 ± 0.02	0.35 ± 0.01
ExtraTrees classifier	0.76 ± 0.01	0.72 ± 0.02	0.82 ± 0.01
Gradient boosting	0.77 ± 0.01	0.87 ± 0.02	0.69 ± 0.02
DRE (3)	0.77 ± 0.01	0.89 ± 0.02	0.67 ± 0.02
DRE (5)	0.78 ± 0.01	0.87 ± 0.02	0.71 ± 0.02
DRE (15)	0.80 ± 0.01	0.87 ± 0.02	0.74 ± 0.02
DRE (20)	0.81 ± 0.01	0.84 ± 0.02	0.77 ± 0.02

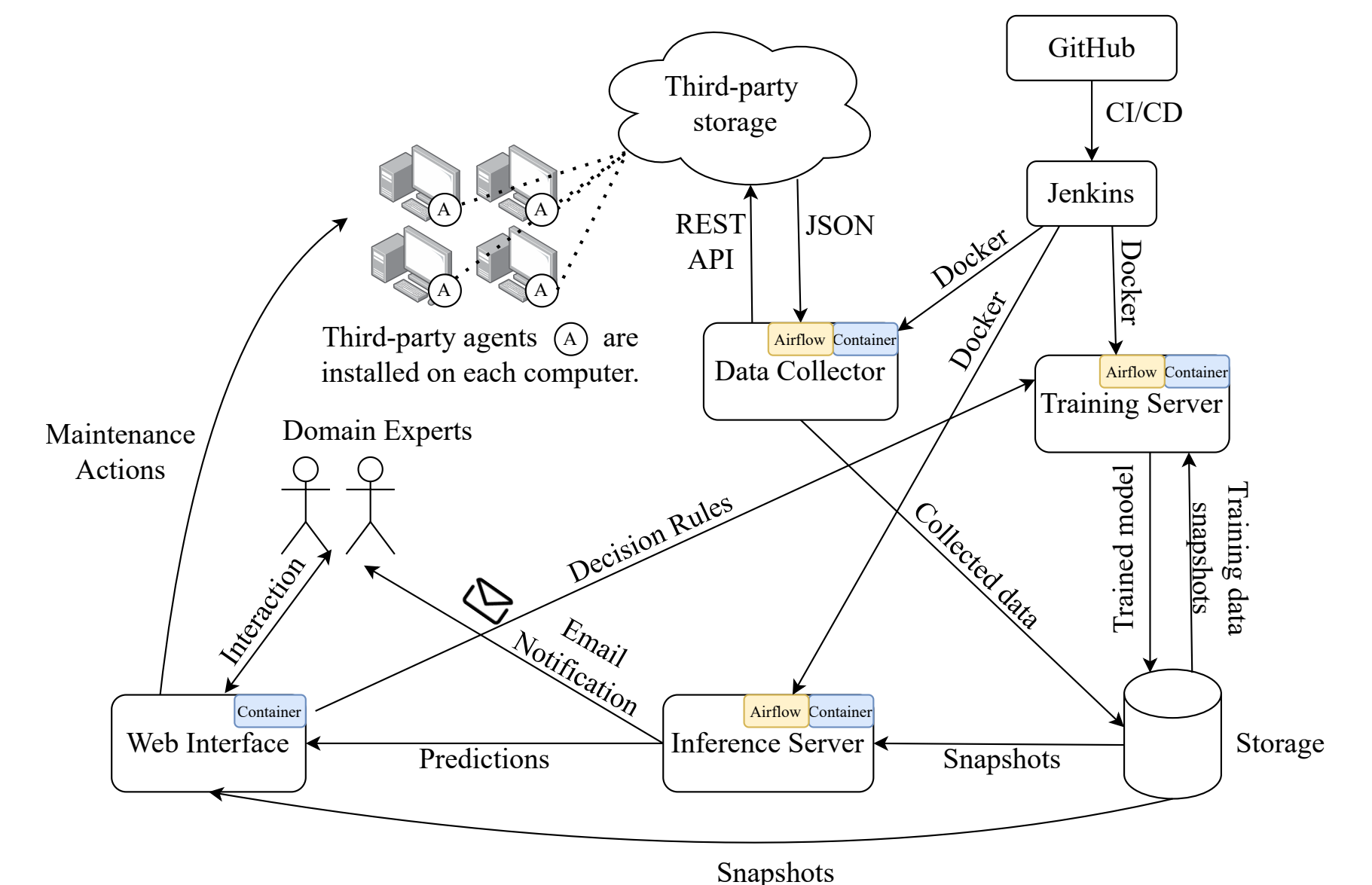
$T_{inf} = 31.9h$ and $T_{eff} = 119.9h$

3. Field-testing



Deployment

- Services: data collector, training server, inference server, and web interface
- domain experts use email notifications and web interface
- the collected data are stored in an S3 compatible storage
- Used tools: Kubernetes, Airflow, Jenkins, and Ceph



Bibliography

- Andreas Holzinger. Interactive machine learning for health informatics: when do we need the human-in-the-loop? *Brain Informatics*, 3(2):119–131, 2016.
- Alexander Nikitin and Samuel Kaski. Decision rule elicitation for domain adaptation. In *26th International Conference on Intelligent User Interfaces*, pages 244–248, New York, NY, USA, 2021. Association for Computing Machinery.